



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: van Rooijen *et al.*

Serial No.: 10/032,201

Filed: December 19, 2001

For: METHODS FOR THE PRODUCTION OF
MULTIMERIC PROTEINS, AND
RELATED COMPOSITIONS

CONFIRMATION NO: 4943

Art Unit: Unassigned

Examiner: Unassigned

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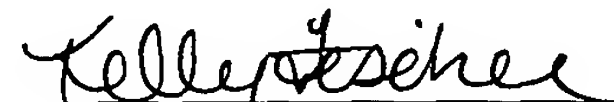
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06/10/02

Date


Kelly Fischer

TRANSMITTAL LETTER

Commissioner for Patents
U.S. Patent and Trademark Office
P.O. Box 2327
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Dear Sir:

Responsive to the Notice to Comply With Requirements For Patent Applications Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures, mailed May 8, 2002, the following documents are transmitted herewith: a copy of the Notice to Comply and Sequence Listing Error Report, a Response to the Notice to Comply, paper and disk copies of the Substitute Sequence Listing, and a Verified Statement that the paper and computer readable copies of the Substitute Sequence Listing are the same.

- ☒ The Commissioner is hereby authorized to charge any fee, including any submitted herewith if an attached check(s) is in the wrong amount or otherwise improper or missing, that may be due in connection with this and the attached papers, or with this application during its entire pendency to or to credit any overpayment to Deposit Account No. 50-1213. A duplicate of this sheet is enclosed.

Respectfully submitted,
HELLER, EHRMAN, WHITE & McAULIFFE LLP

By:


Stephanie L. Seidman
Registration No. 33,779

Attorney Docket No. 38814-351B
Address all correspondence to:
HELLER, EHRMAN, WHITE & McAULIFFE LLP
4350 La Jolla Village Drive, 7th Floor
San Diego, California 92122-1246
Telephone: 858 450-8400
Facsimile: 858 587-5360
email:sseidman@HEWM.com



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
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www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/032,201	12/19/2001	Gijs Van Rooijen	38814-351B

CONFIRMATION NO. 4943

Stephanie Seidman
HELLER EHRMAN WHITE & McAULIFFE LLP
Suite 700
4350 La Jolla Village Drive
San Diego, CA 92122-1246



RECEIVED

MAY 14 2002

Heller Ehrman

FORMALITIES LETTER



OC000000008060526

Date Mailed: 05/08/2002

NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

- A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing." Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d).

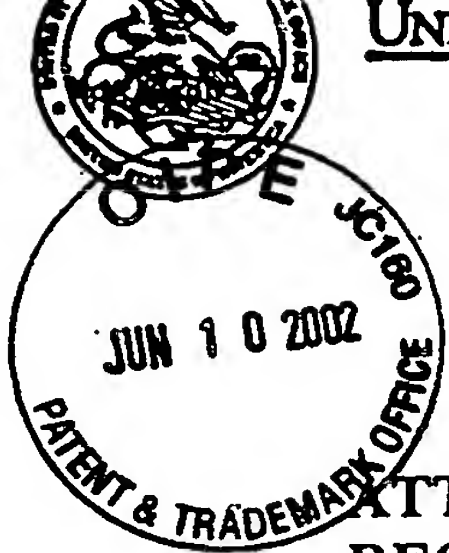
For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

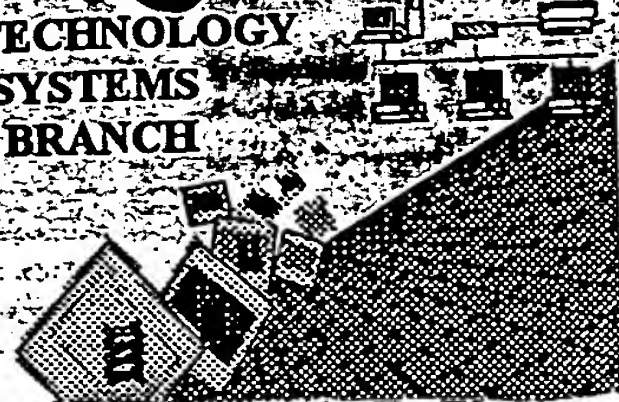
**ATTACHMENT TO "NOTICE TO COMPLY WITH
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Any reply including a sequence listing in electronic form should NOT be sent to the 20231 zip code address for the United States Patent and Trademark Office, and instead should be submitted using one of the following methods:

1. Electronically submitted through EFS-Bio
(<http://www.uspto.gov/ebs/efs/downloads/documents.htm>, EFS Submission User Manual - ePAVE)
2. Mailed to:
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Box Sequence, P.O. Box 2327
Arlington, VA 22202
3. Mailed by Federal Express, United Parcel Service or other delivery service to:
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Arlington, Virginia 22202
4. Hand Carried directly to the Customer Window at:
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Crystal Plaza Two, Lobby, Room 1B03, Box Sequence,
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BIOTECHNOLOGY
SYSTEMS
BRANCH



05/0
0425

RAW SEQUENCE LISTING ERROR REPORT

The Biotechnology Systems Branch of the Scientific and Technical Information Center (STIC) detected errors when processing the following computer readable form:

Application Serial Number: 10/032,201A
Source: OIPÉ
Date Processed by STIC: 4/24/02

THE ATTACHED PRINTOUT EXPLAINS DETECTED ERRORS.

PLEASE FORWARD THIS INFORMATION TO THE APPLICANT BY EITHER:

- 1) INCLUDING A COPY OF THIS PRINTOUT IN YOUR NEXT COMMUNICATION TO THE APPLICANT, WITH A NOTICE TO COMPLY or,
- 2) TELEPHONING APPLICANT AND FAXING A COPY OF THIS PRINTOUT, WITH A NOTICE TO COMPLY

FOR CRF SUBMISSION QUESTIONS, PLEASE CONTACT MARK SPENCER, 703-308-4212.

FOR SEQUENCE RULES INTERPRETATION, PLEASE CONTACT ROBERT WAX, 703-308-4216.

PATENTIN 2.1 e-mail help: patin21help@uspto.gov or phone 703-306-4119 (R. Wax)

PATENTIN 3.0 e-mail help: patin3help@uspto.gov or phone 703-306-4119 (R. Wax)

TO REDUCE ERRORED SEQUENCE LISTINGS, PLEASE USE THE CHECKER VERSION 3.1 PROGRAM, ACCESSIBLE THROUGH THE U.S. PATENT AND TRADEMARK OFFICE WEBSITE. SEE BELOW FOR ADDRESS:

<http://www.uspto.gov/web/offices/pac/checker>

Applicants submitting genetic sequence information electronically on diskette or CD-Rom should be aware that there is a possibility that the disk/CD-Rom may have been affected by treatment given to all incoming mail. Please consider using alternate methods of submission for the disk/CD-Rom or replacement disk/CD-Rom. Any reply including a sequence listing in electronic form should NOT be sent to the 20231 zip code address for the United States Patent and Trademark Office, and instead should be sent via the following to the indicated addresses:

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2. U.S. Postal Service: U.S. Patent and Trademark Office, Box Sequence, P.O. Box 2327, Arlington, VA 22202
3. Hand Carry directly to:
U.S. Patent and Trademark Office, Technology Center 1600, Reception Area, 7th Floor, Examiner Name, Sequence Information, Crystal Mall One, 1911 South Clark Street, Arlington, VA 22202
Or
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4. Federal Express, United Parcel Service, or other delivery service to: U.S. Patent and Trademark Office, Box Sequence, Room 1B03-Mailroom, Crystal Plaza Two, 2011 South Clark Place, Arlington, VA 22202

Revised 01/29/2002



OIPE

Does Not Comply
Corrected Diskette Needed

See p. 6

RAW SEQUENCE LISTING
PATENT APPLICATION: US/10/032,201A

DATE: 04/24/2002
TIME: 10:57:50

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4 Deckers, Harm
5 Heifetz, Peter Bernard
6 Briggs, Steven
7 Dalmia, Bipin Kumar
8 Del Val, Greg
9 Zaplachinski, Steve
10 Moloney, Maurice
12 <120> TITLE OF INVENTION: METHODS FOR THE PRODUCTION OF MULTIMERIC PROTEINS, AND
ELATED
13 COMPOSITIONS
15 <130> FILE REFERENCE: 38814-351B
17 <140> CURRENT APPLICATION NUMBER: 10/032,201A
--> 18 <141> CURRENT FILING DATE: 2002-04-09
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RAW SEQUENCE LISTING

PATENT APPLICATION: US/10/032,201A

DATE: 04/24/2002

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171 ggc cca gcg gca cac acg gcg gcg att tac gca gct agg gct gaa ctt 96
172 Gly Pro Ala Ala His Thr Ala Ala Ile Tyr Ala Ala Arg Ala Glu Leu
173 20 25 30
175 aaa cct ctt ctc ttc gaa gga tgg atg gct aac gac atc gct ccc ggt 144
176 Lys Pro Leu Leu Phe Glu Gly Trp Met Ala Asn Asp Ile Ala Pro Gly
177 35 40 45
179 ggt caa cta aca acc acc acc gac gtc gag aat ttc ccc gga ttt cca 192
180 Gly Gln Leu Thr Thr Thr Thr Asp Val Glu Asn Phe Pro Gly Phe Pro
181 50 55 60
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RAW SEQUENCE LISTING

PATENT APPLICATION: US/10/032,201A

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235	gat	ggt	tat	gtt	gtc	acg	aag	cct	ggt	act	aca	cag	act	agc	gtt	ccc	864
236	Asp	Gly	Tyr	Val	Val	Thr	Lys	Pro	Gly	Thr	Thr	Gln	Thr	Ser	Val	Pro	
237			275					280						285			
239	gga	gtt	ttc	gct	gcg	ggt	gat	gtt	cag	gat	aag	aag	tat	agg	caa	gcc	912
240	Gly	Val	Phe	Ala	Ala	Gly	Asp	Val	Gln	Asp	Lys	Lys	Tyr	Arg	Gln	Ala	
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RAW SEQUENCE LISTING

PATENT APPLICATION: US/10/032,201A

DATE: 04/24/2002

TIME: 10:57:50

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283 165 170 175
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285 180 185 190
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301 305 310 315 320

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Output Set: N:\CRF3\04242002\J032201A.raw

lease Note:

se of n and/or Xaa have been detected in the Sequence Listing. Please review the
equence Listing to ensure that a corresponding explanation is presented in the <220>
o <223> fields of each sequence which presents at least one n or Xaa.

eq#:101; Xaa Pos. 16,17,38,42,45,54,55,58,66,72,75,79,80,81,94,99,103
eq#:109; Xaa Pos. 17,38,42,55,58,60,72,107
eq#:134; Xaa Pos. 21,35
eq#:245; Xaa Pos. 33,45,46
eq#:275; Xaa Pos. 9,11
eq#:287; Xaa Pos. 524
eq#:288; Xaa Pos. 666
eq#:290; Xaa Pos. 523
eq#:293; Xaa Pos. 520
eq#:294; Xaa Pos. 578
eq#:295; Xaa Pos. 523
eq#:296; Xaa Pos. 576
eq#:300; Xaa Pos. 612
eq#:303; Xaa Pos. 523
eq#:304; Xaa Pos. 527
eq#:307; Xaa Pos. 497
eq#:309; Xaa Pos. 497
eq#:312; Xaa Pos. 525
eq#:313; Xaa Pos. 498

se of <220> Feature(NEW RULES):

equences are missing the <220> Feature and associated headings.
se of <220> to <223> is MANDATORY if <213> ORGANISM is "Artificial Sequence"
r"Unknown". Please explain source of genetic material in <220> to <223>
ection (See "Federal Register," 6/01/98, Vol. 63, No. 104, pp.29631-32)
Sec.1.823 of new Rules)

eq#:10(16)17,18,19,22,24,27,30,33,34,35

VERIFICATION SUMMARY

PATENT APPLICATION: US/10/032,201A

DATE: 04/24/2002

TIME: 10:57:51

Input Set : A:\351bseq.002

Output Set: N:\CRF3\04242002\J032201A.raw

:18 M:271 C: Current Filing Date differs, Replaced Current Filing Date
:162 M:281 W: Numeric Fields not Ordered, <221> Sort in ascending order!
:166 M:258 W: Mandatory Feature missing, <220> not found for SEQ ID#:10
:538 M:281 W: Numeric Fields not Ordered, <221> Sort in ascending order!
:541 M:258 W: Mandatory Feature missing, <220> not found for SEQ ID#:16
:544 M:258 W: Mandatory Feature missing, <220> not found for SEQ ID#:16
:680 M:258 W: Mandatory Feature missing, <220> FEATURE:
:680 M:258 W: Mandatory Feature missing, <223> OTHER INFORMATION:
:704 M:258 W: Mandatory Feature missing, <220> FEATURE:
:704 M:258 W: Mandatory Feature missing, <223> OTHER INFORMATION:
:737 M:281 W: Numeric Fields not Ordered, <221> Sort in ascending order!
:740 M:258 W: Mandatory Feature missing, <220> not found for SEQ ID#:19
:743 M:258 W: Mandatory Feature missing, <220> not found for SEQ ID#:19
:856 M:336 W: Invalid Amino Acid Number in Coding Region, SEQ ID:19
:945 M:281 W: Numeric Fields not Ordered, <221> Sort in ascending order!
:948 M:258 W: Mandatory Feature missing, <220> not found for SEQ ID#:22
:1151 M:258 W: Mandatory Feature missing, <220> not found for SEQ ID#:24
:1434 M:281 W: Numeric Fields not Ordered, <221> Sort in ascending order!
:1437 M:258 W: Mandatory Feature missing, <220> not found for SEQ ID#:27
:1440 M:258 W: Mandatory Feature missing, <220> not found for SEQ ID#:27
:1729 M:258 W: Mandatory Feature missing, <220> not found for SEQ ID#:30
:2066 M:258 W: Mandatory Feature missing, <220> not found for SEQ ID#:33
:2297 M:258 W: Mandatory Feature missing, <220> not found for SEQ ID#:34
:2330 M:258 W: Mandatory Feature missing, <220> not found for SEQ ID#:35
:2334 M:258 W: Mandatory Feature missing, <220> not found for SEQ ID#:35
:2340 M:258 W: Mandatory Feature missing, <220> not found for SEQ ID#:35
:4706 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:101 after pos.:0
:4708 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:101 after pos.:16
:4710 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:101 after pos.:32
:4712 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:101 after pos.:48
:4714 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:101 after pos.:64
:4716 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:101 after pos.:80
:4718 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:101 after pos.:96
:4895 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:109 after pos.:16
:4897 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:109 after pos.:32
:4899 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:109 after pos.:48
:4901 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:109 after pos.:64
:4905 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:109 after pos.:96
:5488 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:134 after pos.:16
:5490 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:134 after pos.:32
:9690 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:245 after pos.:32
:11041 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:275 after pos.:0
:11598 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:287 after pos.:512
:11695 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:288 after pos.:656
:11848 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:290 after pos.:512
:12071 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:293 after pos.:512
:12158 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:294 after pos.:576
:12237 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:295 after pos.:512

VERIFICATION SUMMARY

PATENT APPLICATION: US/10/032,201A

DATE: 04/24/2002

TIME: 10:57:51

Input Set : A:\351bseq.002

Output Set: N:\CRF3\04242002\J032201A.raw

:12322 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:296 after pos.:560
 :12637 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:300 after pos.:608
 :12850 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:303 after pos.:512
 :12929 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:304 after pos.:512
 :13152 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:307 after pos.:496
 :13259 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:309 after pos.:496
 :13418 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:312 after pos.:512
 :13495 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:313 after pos.:496